

Serial Number: 09/865,879CRF Processing Date: 7/3/2001Edited by: Verified by:

(STIC staff)

ENTERED

- ☐ Changed a file from non-ASCII to ASCII
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: _____
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other _____
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: _____
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: _____
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: _____
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: _____
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: _____
- ☒ Deleted: ☒ non-ASCII "garbago" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☐ page numbers throughout text; ☐ other invalid text, such as _____
- ☐ Inserted mandatory headings, specifically: _____
- ☐ Corrected an obvious error in the response, specifically: _____
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: _____
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted *ending* stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: _____
- ☐ Other: _____

*Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

RAW SEQUENCE LISTING

DATE: 07/03/2001

PATENT APPLICATION: US/09/865,879

TIME: 14:56:12

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3 <110> APPLICANT: Roninson, Igor
 4 Dokmanovic, Milos
 5 Chang, Bey-Dih
 8 <120> TITLE OF INVENTION: REAGENTS AND METHODS FOR IDENTIFYING AND MODULATING
 EXPRESSION OF GENES
 9 REGULATED BY RETINOIDS
 11 <130> FILE REFERENCE: 99,216-H
 C--> 13 <140> CURRENT APPLICATION NUMBER: US/09/865,879
 C--> 13 <141> CURRENT FILING DATE: 2001-05-25
 13 <150> PRIOR APPLICATION NUMBER: 60/207,535
 14 <151> PRIOR FILING DATE: 2000-05-26
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PATENT APPLICATION: US/09/865,879

TIME: 14:56:13

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441 <223> OTHER INFORMATION: PCI promoter NCBI acc. number AL049839.3
444 <400> SEQUENCE: 7
445 ctgccatgcc tactgtctac acttccatag cacgtgcccc caagcacccc atggtgtagg 60
447 tgetgttatt atcaactatct tacagttatg gagcagtggc tcaaggtgta actgacttgc 120
449 ccaaaatcac actacaagga cacagcaggg ctgagatttg aaccaggca gtggcttcag 180
451 agcctgagct gtttctact gcagaggag gaggaagac ttctaccgt agccagatgg 240

```

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/865,879

DATE: 07/03/2001

TIME: 14:56:14

Input Set : A:\Pto.amc

Output Set: N:\CRF3\07032001\I865879.raw

L:13 M:270 C: Current Application Number differs, Replaced Current Application No

L:13 M:271 C: Current Filing Date differs, Replaced Current Filing Date